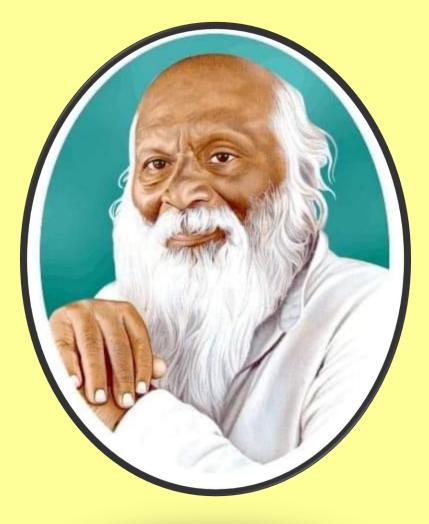
Karmaveer Bhaurao Patil University, Satara

Established as per Government of Maharashtra Notification MIS-2019/CR-185/UE-3, dated the 27th October 2021



Ph.D. Programme Prospectus For the Academic Year: 2023-24



From the Desk of Vice-Chancellor

Dear Researchers, Greetings!

It is indeed great pleasure to extend you warm welcome to Karmaveer Bhaurao Patil University, Satara. This university is carrying ahead a legacy of remarkable achievements and milestones on research front. It has created young researchers and scientists, who have been contributing to research and development in different areas.

Research is known to be the thrust of university education system, in creation of new knowledge, exploring new ideas and finally using the research outcome towards betterment of society and mankind.

Our university look forward to research as a potential for development of particular specialization and ultimately taking it to further advancements like Intellectual Property Rights (IPR), Generation of Patents, etc.

Our university is keen in undertaking research in upcoming areas and inspire the researchers for taking research in interdisciplinary areas, as well. Here, we offer the infrastructure and expertise that will definitely go hand in hand, for capacity building and support of our research students. The University provides a research platform to our researchers to bring global access and opportunities. My best wishes to you all for your successful research endeavors and I am sure that you will come up with new innovations and new techniques on need based research front.

> Prof. (Dr.) D. T. Shirke Vice-Chancellor

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Karmaveer Bhaurao Patil, University, Satara Regulations for Ph.D. Programme

[University Grants Commission (Minimum Standards and Procedure for Award of Ph. D. Degree) Regulations, 2022: Notification dated 7th November 2022]

R.R.D. 1. DATE OF APPLICATION:

A candidate seeking admission to the Ph. D. degree shall apply to the University in the prescribed application form, on or before the date, as notified by Karmaveer Bhaurao Patil University, Satara.

R.R.D. 2. ELIGIBILITY:

For admission to the Ph. D. programme in a related subject of concerned faculty, the applicant shall fulfill the following conditions:

1. Eligibility criteria for admission to Ph. D. programme:

Subject to the conditions prescribed from time to time under the rules, the following persons are eligible to seek admission to the Ph.D. programme:

1.1 Candidates seeking admission to the Ph. D. programme shall have a <u>1-year/2-semester</u> master's degree programme after a <u>4-year/ 8-semester</u> bachelor's degree programme or a <u>2-year/4-semester</u> master's degree programme after a <u>3-year bachelor's degree</u> programme, with <u>at least 55% marks in aggregate</u> or its equivalent grade in a point scale wherever grading system is followed or equivalent qualification from a <u>foreign educational institution accredited by an</u> <u>assessment and accreditation agency</u> which is approved, recognized or authorized by an authority, established or incorporated under a law in its home country or any other statutory authority in that country to assess, accredit or assure quality and standards of the educational institution.

A <u>relaxation of 5% marks</u> or its equivalent grade may be allowed for those belonging to <u>SC/ ST/ OBC (non-creamy layer)/ Differently-Abled,</u> <u>Economically Weaker Section (EWS)</u> and other categories of candidates as per the decision of the Commission from time to time.

Provided that a candidate seeking admission after a <u>4-year/ 8-semester</u> <u>bachelor's degree</u> programme should have a <u>minimum of 75%</u> marks in aggregate or its equivalent grade on a point scale wherever the grading system is followed.

A <u>relaxation of 5% marks</u> or its equivalent grade may be allowed for those belonging to SC/ ST/ OBC (non-creamy layer)/ Differently-Abled, Economically Weaker Section (EWS) and other categories of candidates as per the decision of the Commission from time to time.

1.2 Candidates who have completed the <u>M. Phil. programme with at least 55%</u> <u>marks</u> in aggregate or its equivalent grade in a point scale wherever grading system is followed or equivalent qualification from a foreign educational institution accredited by an assessment and accreditation agency which is approved, recognized or authorized by an authority, established or incorporated under a law in its home country or any other statutory authority in that country to assess, accredit or assure quality and standards of educational institutions, shall be eligible for admission to the Ph.D. programme. A relaxation of 5% marks or its equivalent grade may be allowed for those belonging to SC/ ST/ OBC (non-creamy layer)/ Differently-Abled, Economically Weaker Section (EWS) and other categories of candidates as per the decision of the Commission from time to time.

- 1.3 A person whose M. Phil. dissertation has been evaluated and the viva voce is pending shall be eligible for admission to the Ph. D. programme of Karmaveer Bhaurao Patil University, Satara.
- 1.4 Candidates possessing M. Phil. Degree of any other Statutory University or Degree considered equivalent to M. Phil. Degree of an Indian institution or from a foreign educational institution accredited by an Assessment and Accreditation Agency which is approved, recognized or authorized by an authority, established or incorporated under a law in its home country or any other statutory authority in that country for the purpose of assessing, accreditating or assuring quality and standards of educational institutions, shall be eligible for admission to the Ph. D. programme.
- 1.5 The candidates with following qualifications shall be assigned with the marks shown against the qualification. However the total marks shall not exceed seven. These marks shall be added to the total score of entrance test of respective candidate. The order of merit for admission to Ph. D. shall be decided on the basis of the total sum.

M.Phil.	7
NET/SLET/SET/GATE/GPAT/BET	7
NET with JRF	7
NET/SET/Regular Fellowship (State/National Level) Full	7
time teacher Fellowship (Minimum 10 yrs experience)	
Total marks of above shall not exceed seven.	

1.6 (DST INSPIRE/GOLD medal) Candidates admitted through QIP-Ph. D. scheme of AICTE at the QIP research centre, after satisfying all requirements, of the QIP scheme are exempted from the entrance test and interview, to enable them to register for the respective Ph. D. programme of the University.

R.R.D. 3. DURATION OF PROGRAMME:

- 3.1. Ph. D. Programme shall be for a minimum duration of three (3) years, including course work, and a maximum duration of six (6) years from the date of admission to the Ph. D. programme.
- 3.2. If the Ph. D. thesis of the candidate is ready, after the expiry of 6 (six) years, the candidate may be allowed to submit his/her thesis by an extension of registration for two years, after paying the prescribed fee. He/she may submit his/her thesis within two years from the date of extension of registration. If he/she fails to submit his/her thesis within two years from extension of registration, his/her performance as wellas registration will automatically stand cancelled and no separate communication shall be made by the university to the respective candidate.

A maximum of an additional two (2) years can be given through a process of reregistration as per the Statute/Ordinance of the Higher Educational Institution concerned; provided, however, that the total period for completion of a Ph. D. programme should not exceed eight (8) years from the date of admission in the Ph. D. programme.

For extension of registration of Ph. D. Programe, the candidate shall apply to the University through the Research Guide/ Research Supervisor in the prescribed application form duly signed by concerned Head of the Department/Principal/Director.

- 3.3. The women candidates and persons with disability (more than 40% disability) may be allowed an additional relaxation of two years for Ph. D. in the maximum duration; however, the total period for completion of a Ph. D. programme in such cases should not exceed ten (10) years from the date of admission in the Ph. D. programme. In addition, the women candidates may be provided Maternity Leave/Child Care Leave for up to 240 days in the entire duration of the Ph. D. programme and will not be counted as course period.
- 3.4. Candidates applying to the University as per Provisions mentioned above at 3.2 and 3.3 shall apply to the University before 3 Months of the expiry of the maximum Duration. Delayed applications will not be entertained under any circumstance.

R.R.D.4. PROCEDURE FOR ADMISSION TO PH. D.:

- 4.1. Concerned Section of Karmaveer Bhaurao Patil University, Satara shall notify on an annual basis a predetermined and manageable number of Ph. D. scholars to be admitted depending on the vacancies available with Research Supervisors [as prescribed under UGC norms with respect to the Scholar – Research Supervisor ratio] and other academic and physical facilities available.
- 4.2. The Karmaveer Bhaurao Patil University, Satara shall publish the Notification for admission to Ph. D. well in advance on its website and through advertisement in at least two national newspapers, of which one shall be a Marathi newspaper. The detailed information including the number of seats for admission, subject/ discipline-wise distribution of available seats, criteria for admission, procedure for admission, examination centre(s) where entrance test(s) shall be conducted and all other relevant information for the benefit of the candidates, shall be made available on University official website (www.unikbp.ac.in).
- 4.3. The admission to Ph. D. programme shall be based on the criteria notified by Karmaveer Bhaurao Patil University, Satara, keeping in view the guidelines/norms in this regard issued by the University Grants Commission and other statutory bodies concerned, and taking into an account the reservation policy of the Central/State Government, prescribed from time to time.
- 4.4. All admissions for Ph. D. programmes shall be through a Common Entrance Test and Interview/Viva-Voce conducted by Karmaveer Bhaurao Patil University, Satara, except foreign candidates.
- 4.5. Foreign candidates complying the eligibility criteria (for those who have obtained Master's Degree from foreign Universities OR eligible Foreign National) are exempted from appearing for entrance test, however, they should appear for Interview in person/ online.
- 4.6. Candidates appearing for the Post Graduate final year examination may appear for the entrance test. He/ She should submit the result of qualifying examination at the time of Ph. D. admission. Failure to which, shall disqualify the concerned candidate from further process.
- 4.7. All admissions shall be processed through the (Department Research Committee/ Central Research Committee) for selection of a candidate, a weightage of 70% to the entrance test and 30% to the performance in interview/ viva-voce shall be given.
- 4.8. The interview/ viva-voce shall be organized by DRC/CRC. The candidates who havequalified in entrance test (Refer R.R.D. 5.1) shall be called for interview/viva-voce of 30 marks. The document verification of respective candidate shall also be carriedout at the time of interview/viva-voce.
- 4.9. The general merit list for the Ph.D. Programme (except foreign candidates) shall

be prepared by the University, on the basis of performance of the candidate in the entrance test and the interview/viva-voce. A weightage shall be given as per provisions of R.R.D. 4.12 to prepare general merit list.

If more than one candidate scores equal marks (in entrance test and the interview/viva-voce) then the marks scored at Post Graduate Degree shall be considered to prepare the order of merit by respective DRC/CRC.

- 4.10. The merit list of selected candidates and the waiting list (for vacant seats, if any) inorder of merit shall be prepared by the DRC/CRC. It will be valid for that particularacademic year only.
- 4.11. The candidates are required to discuss their research interest/area through a presentation before a duly constituted Departmental Research Committee/Central Research Committee at the time of the interview/Viva-Voce.
- 4.12. The following aspects shall be considered during the interview/viva-voce:
 - a) Whether the candidate possesses the competence for the proposed research.
 - b) Whether the research work can be suitably undertaken at the university/research centre.
 - c) Whether the proposed area of research can contribute to new/additional knowledge.

Based on above, the scheme of marking for interview/viva-voce shall be as mentioned below:

А	Subject knowledge and research methodology	10 marks
В	Research interest	10 marks
С	Research Competency	10 marks
	Total	30 Marks

The candidate shall appear for interview /viva-voce along with the inputs in given proforma (Please see annexure- IV)

4.13. The Karmaveer Bhaurao Patil University, Satara shall maintain the list of all the Ph. D. registered students on its website on year-wise basis. The list shall include the name of the registered candidate, topic of his/her research, name of his/her supervisor/co- supervisor, date of enrolment/registration.

R.R.D.5. ENTRANCE TEST:

5.1. An Entrance Test shall be a qualifying test for admission to Ph. D. programme. The Entrance Test will be of 100 marks (50 questions of two marks each with multiple choices). The qualifying marks for Entrance test shall be 50% marks. Provided that a relaxation of 5% of marks (from 50% to 45%) shall be allowed for the candidates belonging to SC/ST/VJ-NT (Non-Creamy layers)/ OBC (Non- Creamylayers)/ EWS and other categories of candidates as per the decision of the Commission from time to time/ Differently-abled category in the entrance examination. Provided further that, if in spite of the above relaxation, the seats allotted for SC/ST/VJ-NT (Non- Creamy Layers)/EWS/OBC (Non-Creamy layers)/ Differently-abled categories remain unfilled, the University shall launch a Special Admission Drive, for that particular category.

Negative scheme of marking shall not be applicable.

- 5.2. The Entrance Test shall consist of 50% questions based on Research Methodology and 50% shall be subject specific. The Entrance Test shall be conducted at the centre(s) to be notified in advance. The details of syllabus for the Entrance Test shall be made available on University Website.
- 5.3. Entrance Examination will be offline/online.
- 5.4. The duration for the examination will be two hours.
- 5.5. Qualifying the Entrance Test does not essentially mean the confirmation of Ph.D. admission.
- 5.6. The quota for admission shall be 90% for Home University students and 10% for other university students. The reservation rules shall be applicable to 90% quota and 10% quota, separately. The candidate who has obtained the qualifying degree of Karmaveer Bhaurao Patil University (for admission to Ph. D. programme) shall be treated as Home University student.
- 5.7. (i) The Examination Department shall process the result of the entrance test and prepare a list of qualified candidates as per the provisions of R.R.D. 5.1. however the marks scored in entrance test shall not be disclosed.
- (ii) The list of qualified candidates (without disclosing marks) shall be provided to the respective department for further process with reference to interview/viva-voce.
- (iii) Those candidates who are qualified in entrance test (as per provisions of R.R.D. 5.1.) shall be called for interview/ viva-voce, the number of eligible students to be called for an interview based on the number of Ph. D. seats available.
- (iv) The concerned department shall organize interview through the DRC/CRC and communicate the marks out of 30 for each candidate to examination department. The DRC/CRC shall also communicate the additional marks, if any of the candidates as per provisions of RRD 2.5.
- (v) The general merit list shall be prepared by using following formula for each candidate. T= ($0.7 \times M$) +V+A

Where, T= Total Score of the candidate; M= Marks obtained out of 100 (in entrance test).V= Marks obtained in interview/viva-voce.

A= Additional Marks of the candidate as per R.R.D. 2.5 provided that the total score of a candidate shall not exceed 100.

(vi) The DRC/CRC shall prepare list of selected candidates as per provisions of RRD 4.8, 4.9, 4.10, 4.11 and 4.12 after verifying eligibility criteria of respective programmes

R.R.D.6. DEPARTMENTAL RESEARCH COMMITTEE (DRC) AND CENTRAL RESEARCH COMMITTEE (CRC):

6.1. The constitution of DRC

1	The Head of the Department	Chairperson
2	Two Subject Experts (One from Department Concerned and one from outside university jurisdiction) nominated by the Vice- Chancellor	Members
3	Two Nominees of the Vice-Chancellor (One General and One Reservation)	Members
4	Chairman of Board of Studies concerned	Member

All the above members necessarily shall be the research Guides/Supervisors for Ph.

D. and one of them shall be from reserved category and one must be a woman member. It is responsibility of the member from the reserved category to observe and ensure the execution of the reservation policy of Government of Maharashtra during admission process.

If DRC cannot be constituted as above, due to the small size of the Department, then the recognized research Guides/Supervisors from the Constituent colleges / recognized institutes may be nominated by the Vice-Chancellor for the formation of DRC.

6.2. The Central Research Committee [CRC] to conduct Interview in the subject where University Department does not exist will be constituted by the Hon. Vice-Chancellor as follows:

1.	Senior Professor	Chairperson
2.	Chairperson, Board of Studies in the concerned	Member
	subject.	
3.	Two subject experts to be nominated by the	Members
	Hon. Vice-Chancellor	
4.	One subject expert from research centre, by	Member
	rotation	

All the above members necessarily shall be the research Guides/Supervisors for Ph.D. and one of them should be from reserved category and one must be a woman member. It is responsibility of the member from the reserved category to observe and ensure the execution of the reservation policy of Government of Maharashtra during admission process.

- 6.3. The Quorum for DRC/CRC meeting will be three.
- 6.4. The tenure of the DRC/CRC will be of three academic years.
- 6.5.The approval to the formation of the DRC/CRC shall be obtained from the Hon. Vice-Chancellor.

R.R.D.7. REGISTRATION PROCEDURE AFTER THE INTERVIEW AND THE RESEARCH PROPOSAL / SYNOPSIS:

- 7.1. A selected applicant seeking admission to the Ph.D. programme shall apply to the Karmaveer Bhaurao Patil University, Satara in the prescribed form along with the followingdocuments :
 - i. A self attested copy of the statement of marks / degree/ passing certificate (Post Graduation degree, M. Phil. / NET / SET /SLET/ GATE / BET/JRF etc.)
 - ii. Migration and Transfer Certificate (whichever is necessary).
 - iii. The registration form duly filled in and signed along with registration fee as prescribed by the university from time to time, through the Head of the University Department / Principal / Director of Recognized Institution.
 - iv. Authenticated copy of professional experience along with copies of the publishedresearch work in the proposed area, wherever necessary.
 - v. Sponsorship letter from the Institution or Company, wherever necessary.
 - vi. No Objection Certificate from the employer, if the candidate is employed.
 - vii. The eligibility form along with requisite documents and fee shall be submitted to the concerned university section. The registration of the PH.D. candidates shall not be confirmed unless the final eligibility certificate is issued by

University.

- 7.2. The synopsis of Ph. D. candidates will not be placed before the Research and Recognition Committee, unless the final eligibility process is completed.
- 7.3. The applicant shall submit the application along with eight copies of Ph.D proposal/synopsis.
- 7.4. A selected applicant shall be required to make a brief presentation before the Research Advisory Committee about the proposed research.
- 7.5. The presentation will be arranged by the respective Head of the University Department or chairman of the Research Advisory Committee.
- 7.6. In case of any suggestions given by the Research Advisory Committee (RAC) for improvement of the topic, the candidate shall make changes accordingly and submit the same to the Head / Chairman of RAC within a period of not more than fifteen days from the date of presentation.
- 7.7. The Research Advisory Committee (RAC) shall prepare a report on the basis of the presentation. The chairman of Research Advisory Committee shall send a report along with the proposal, within a period not more than 20 days, from the date of presentation to the concerned Section of Karmaveer Bhaurao Patil University, Satara to place the same before the Research and Recognition Committee for final approval.
- 7.8. The constitution of Research and Recognition Committee shall be as prescribed in Maharashtra Public Universities Act, 2016.
- 7.9. The registered Ph.D candidate shall not be allowed to register simultaneously for any other degree course/ programme in regular mode.
- 7.10. The admission will be confirmed after the Research and Recognition Committee approves the topic.

R.R.D.8. RESEARCH ADVISORY COMMITTEE AND ITS FUNCTIONS:

8.1. There shall be a Research Advisory Committee for each Ph.D. scholar. Research Advisory Committee shall consist of:

1	The Head	Chairperson
2	Two experts nominated by the Hon. Pro -Vice - Chancellorin consultation with the Dean of the concerned Faculty. [The two experts necessarily shall be the researchGuides/ Supervisors]	Member
3	Guide/Supervisor of the research student	Member/ Convener

- 8.2. Where University Department does not exist, the Research Advisory Committeewill be constituted by the Hon. Pro-Vice Chancellor consisting of Chairperson, Research Guide/ Research Supervisor, two experts.
- 8.3. The Research Advisory Committee shall have the following responsibilities, namely:-
 - 8.3.1. To review the research proposal and finalize the topic of research;
 - 8.3.2. To guide the Ph.D. scholar in developing the study design and methodology of research and identify the course(s) that he/she may have to do.
 - 8.3.3. To periodically review and assist in the progress of the research work of the Ph.D. scholar.

- 8.4.Each semester, a Ph.D. scholar shall appear before the Research Advisory Committee to make a presentation and submit a brief report on the progress of his/her work for evaluation and further guidance. The six monthly progress reports of the concerned research scholar shall be submitted by the Research Advisory Committee to the University, with a copy to the research scholar.
- 8.5.In case, the progress of the research scholar is unsatisfactory, the Research Advisory Committee shall record the reasons for the same and suggest corrective measures. If the research scholar fails to implement these corrective measures, the Research Advisory Committee may recommend the University with specific reasons for cancellation of the registration of the research scholar.
- 8.6.After the approval of the title and synopsis by the Research and Recognition Committee, a confirmation letter will be issued and the candidate will be asked to remit the necessary fees within a period of one month.
- 8.7.After final registration, the title of the topic with details of the student, the Research Guide/ Research Supervisor and institute will be displayed on the University website to avoid duplication.

R.R.D.9. CHANGE IN TITLE:

- 9.1. If the candidate desires to modify the title and / or the proposed plan of the research, he/she shall submit his application duly recommended by the Research Guide/ Research Supervisor, through the concerned Head of the Department / the Chairman RAC.
- 9.2. The Research and Recognition Committee concerned may approve such changes on recommendation of the Research Advisory Committee.

R.R.D. 10. RESEARCH SUPERVISOR/GUIDE:

- 10.1. The allocation of Research Supervisor for a selected research scholar shall bedecided by the DRC/CRC. A candidate will work under recognized research Guide/Supervisor of the University allotted by DRC/CRC provided that he / she is not relative (such as husband / wife /daughter / son / brother / sister) of the Guide/Supervisor/Co-Guide/Co-Supervisor.
- 10.2. If the Research Advisory Committee is of the opinion that the proposed study or research work is of interdisciplinary nature or the research work needs to be supplemented with the expertise from outside, it may appoint a Co-Guide from outside the Department/Faculty/college/university/institution, who has proven the expertise in the required field. Such Co-Guide/Co-Supervisor shall be allotted to the student with due consent of the Research Guide.
- 10.3. A Research Supervisor/Co-supervisor who is a Professor, at any given point of time, shall guide up to a maximum of eight (8) Ph.D. scholars. An Associate Professor, as Research Supervisor shall guide up to a maximum of six (6) Ph.D. scholars and an Assistant Professor, as Research Supervisor shall guide up to a maximum of four (4) Ph.D. scholars.

Admission of International students in Ph.D. programme.-

(1) Each supervisor can guide up to two international research scholars on a supernumerary basis over and above the permitted number of Ph.D. scholars as specified in clause 10.3 above.

(2) The HEIs may decide their own selection procedure for Ph.D. admission of international students keeping in view the guidelines/norms in this regard issued by statutory/regulatory bodies concerned from time to time.

10.4. A seat will be considered vacant for admission purpose after the declaration of final result of the student by Karmaveer Bhaurao Patil University, Satara or after six months from the date of submission of final Thesis/Dissertation, whichever is earlier.

10.5. Guidance by Co-Guide/Co-Supervisor / New Research Guide/ Research Supervisor:

- a. In case of demise or ineligibility of the Guide/Supervisor on any grounds, the concerned section on recommendation of the RAC will allocate new Research Guide/ Research Supervisor to candidate. This may be placed before the next meeting of RRC for information.
- b. If 50% or more work has been completed by the candidate under the deceased Research Guide/ Research Supervisor, the name of the deceased Guide/ Supervisor be printed on the thesis along with the name of Co-Guide/Co- Supervisor.

10.6. Transfer of Research Guide/ Research Supervisor

- a. In case of transfer of Research Guide/ Research Supervisor from the institution, where the candidate is registered and has worked for minimum 3 terms with him/her shall continue to work under the same Research Guide/ Research Supervisor.
- b. A candidate who has worked for less than 3 terms will be transferred to a new Research Guide/ Research Supervisor on the recommendation of the RAC.
- c. If the research guide is not available for such candidate then the candidate shall continue his/her research work under the same guide, till the new guide isallotted or till completion of the research work, whichever is earlier.

10.7. Change of the Research Guide/ Research Supervisor

A research student shall submit his/her application for change in research guide through the Research Guide and the Head of the Department. If the research guide and /or the Head of the Department deny to forward the said application, the research student may submit his/her application to the concerned section.

The Research and Recognition Committee, on hearing the Research Guide shall take decision on such application. In case of dispute, the Hon. Vice-Chancellor's decision will be final.

10.8. In case of relocation of an Ph.D. woman scholar due to marriage or otherwise, the research data shall be allowed to be transferred to the University to which the scholar intends to relocate provided all the other conditions in these regulationsare followed in letter and spirit and the research work does not pertain to the project secured by the parent institution/supervisor from any funding agency. The scholar will however give due credit to the parent guide and the institution for the part of research already done.

10.9. Redressal of Grievance

10.9.1. In case of any dispute regarding admission or any other dispute that may arise between a Research Scholar and his/her Research Supervisor, the Deans Committee shall examine the matter and report to the Vice Chancellor of the Karmaveer Bhaurao Patil University, Satara, whose decision shall be final.

- 10.9.2. The report shall include, among other things, specific recommendations. The report shall be submitted to the Pro-Vice Chancellor of Karmaveer Bhaurao Patil University, Satara.
- 10.9.3. In case of the complaint regarding sexual harassment, the research scholar shall submit a complaint to the Internal Complaints Committee (ICC) as per theSexual Harassment of Women at Workplace (Prevention, Prohibition and Redressal) Act, 2013.

R.R.D. 11. COURSE WORK :

Credit Requirements, number, duration, syllabus, minimum standards for completion, etc. for Ph.D. programme shall be as under:

- 11.1. Ph.D. course work shall be a minimum of 12 credits including a "Research and Publication Ethics" course as notified by UGC vide D.O. No. F.1-1/2018(Journal/CARE) in 2019 and a research methodology course.
- 11.2. The course work shall be treated as prerequisite for Ph.D. programme.
- 11.3. A minimum of four credits shall be assigned to one or more courses on Research Methodology which could cover areas such as quantitative methods, computer applications, research ethics and review of published research in the relevant field, training, field work, etc. Other courses shall be advanced level courses preparing the students for Ph.D. degree.
- 11.4. All courses prescribed for Ph.D. course work shall be in conformity with the credit hour instructional requirement and shall specify content, instructional and assessment methods. They shall be duly approved by the Academic Council of the Karmaveer Bhaurao Patil University, Satara.
- 11.5. The University Department/ recognized research centre at Constituent college or recognized institution/ recognized research institution where the scholar pursues his/her research shall prescribe the course(s) to him/her based on the recommendations of the Research Advisory Committee.
- 11.6. All candidates admitted to the Ph.D. programmes shall be required to complete the course work, as approved by the Academic Council of Karmaveer Bhaurao Patil University, Satara during the initial one or two semesters.
- 11.7. Candidates already holding M. Phil. Degree of Karmaveer Bhaurao Patil University and admitted to the Ph.D. programme, or those who have already completed the course work of Karmaveer Bhaurao Patil University in have been permitted to proceed to the Ph.D. in integrated course, shall be exempted from the Ph.D. course work. All other candidatesadmitted to the Ph.D. programme shall be required to complete the Ph.D. course work approved by the Academic Council of the Karmaveer Bhaurao Patil University, Satara.
- 11.8. Grades in the course work, including research methodology courses submitted by the examination section, shall be finalized after a combined assessment by the Research Advisory Committee and the Department and the final grades shall be communicated to the concerned administrative section of the Karmaveer Bhaurao Patil University, Satara.
- 11.9. A Ph.D. scholar must obtain a minimum of 55% marks or its equivalent grade in the

UGC 10-point scale in the course work to be eligible to continue in the programme and submit his or her thesis.

- 11.10. All Ph.D. scholars, irrespective of discipline, shall be required to train in teaching /education /pedagogy/writing related to their chosen Ph.D. subject during their doctoral period. Ph.D. scholars may also be assigned 4-6 hours per week of teaching/research assistantship for conducting tutorial or laboratory work and evaluations.
- 11.11. The syllabus and other details of course work shall be made available on university website <u>www.unikbp.ac.in</u>.
- 11.12. The pattern of Ph.D. course work shall remain the same.
- 11.13. (i) The medium of the course work shall be English for Faculty of Science and Technology, Faculty of Commerce and Management and Faculty of Interdisciplinary Studies.
 - (ii) The medium of the course work shall be English/Marathi for Faculty of Humanities except the papers in languages.
- 11.14. In view of provisions of R.R.D. 11.12, the student should write the answers in English /Marathi, as the case may be.

R.R.D. 12. EVALUATION AND ASSESSMENT METHODS, MINIMUM STANDARDS / CREDITS FOR AWARD OF DEGREE, ETC.:

- 12.1. Upon satisfactory completion of course work, and obtaining the marks /grade prescribed under these rules (11.9), the Ph.D. scholar shall be required to undertake research work and produce a draft dissertation within a reasonable time, as stipulated by Karmaveer Bhaurao Patil University Satara.
- 12.2. Ph.D. scholars shall publish at least one research paper in refereed journal (approved by concerned BOS) and make two paper presentations in conferences/seminars before the submission of the dissertation/thesis for adjudication, and produce evidence for the same in the form of presentation certificates and/or reprints/ acceptance letter from the publisher / editor. Guidelines for research publications issued by Karmaveer Bhaurao Patil University, Satara from time to time and displayed on the official website of Karmaveer Bhaurao Patil University, Satara will be followed.
- 12.3. The research and Recognition Committee in the subject concerned shall suggest the names of eight experts to the Vice Chancellor, out of which four shall be from the State (outside the jurisdiction of Karmaveer Bhaurao Patil University) and remaining four shallbe from outside the State/ Country. The Guide/Supervisor shall be the internal referee.
- 12.4. The external referees shall communicate their willingness or otherwise to evaluate the Ph.D. thesis within one month of the receipt of University letter. The internal and external referees shall submit their evaluation reports within one month of the receipt of the thesis in a prescribed proforma along with a detailed report.
- 12.5. While submitting for evaluation, the dissertation/thesis shall have an undertaking

from the research scholar and a certificate from the Research Supervisor attesting to the originality of the work, vouching that there is no plagiarism and that the work has not been submitted for the award of any other degree/diploma of the Karmaveer Bhaurao Patil University where the work was carried out. It is mandatory for the research scholar to obtain and submit the report on plagiarism from Knowledge Resource Centre of Karmaveer Bhaurao Patil University, Satara.

- 12.6. Before submitting the dissertation/thesis, the Ph.D. scholar shall make a presentation before the Research Advisory Committee, which shall also be open to all faculty members and other research scholars/students.
- 12.7. A Ph.D. scholar shall submit the thesis for evaluation, along with (a) an undertaking from the Ph.D. scholar that there is no plagiarism and (b) a certificate from the Research Supervisor attesting to the originality of the thesis and that the thesis has not been submitted for the award of any other degree/diploma to any other Higher Educational Institution.
- 12.8. The Ph.D. thesis submitted by a research scholar shall be evaluated by his Research Supervisor and two external examiners, who are not in employment of Karmaveer Bhaurao Patil University, Satara or recognized research centre at Constituent college or recognized institution/ recognized research institution, of whom one examiner may be from outside the State/Country. The viva-voce examination, based among other things, on the critiques given in the evaluation report, shall be conducted by the Research Supervisor and at least one of the two external examiners, and shall be open to be attended by the members of the Research Advisory Committee, all faculty members of the university department, other research scholars and other interested experts/researchers.

The universities may conduct the Ph.D. Viva-Voce Examinations through Video Conferencing using Google, Skype, Microsoft Technologies or any other reliable and mutually convenient technology, subject to the approval of the concerned statutory authority of the university, in compliance of clause 9.6 to 9.9 of the UGC Regulations, 2016 regarding award of Ph.D. Degree (i.c. RRD. 12.9 to RRD. 12.13).

While conducting the Viva-Voce Examination through Video Conferencing using electronic means, it may be ensured that it will be open to be attended by the members of the Research Advisory Committee, all faculty members of the department, research scholars and interested experts/researchers, besides the Research Supervisor and Expert (s)/Examiner (s), as applicable.

It is also imperative on the part of the University to maintain due record of the same, including the report signed by Expert (s)/Examiner (s) appointed for conducting the same.

[Note: 1. The Ph.D. Research Coordinator of the respective academic department shall ensure and coordinate all the necessary arrangements of Open Defence/Viva-Voce Examination through Video Conferencing. In case, Ph.D. Research Coordinator is not appointed for any subject/department then the Research Guide/Internal Referee shall ensure and coordinate the abovementioned arrangements.

2. A separate permission shall be obtained by the Research Guide/Internal Referee for organizing Open Defence/Viva-Voce Examination through Video Conferencing.]

- 12.9. The Viva-Voce/ Open Defence of the research scholar to defend the dissertation/thesis shall be conducted only if the evaluation report(s) of the external examiner(s) on the dissertation/thesis is/are satisfactory and include a specific recommendation for conduction of the viva-voce examination. If the evaluation reports of the external examiner in case of Ph.D. Thesis are unsatisfactory, the Karmaveer Bhaurao Patil University shall send the dissertation/ thesis, to another external examiner out of the approved panel of examiners. In such cases, the viva-voce examination shall be held only if the report of the latest examiner is satisfactory. If the report of the latest examiner is also unsatisfactory, the dissertation/thesis shall be rejected and the research scholar shall be declared ineligible for the award of the degree. The thesis rejected by both the external referees will not be considered for resubmission.
- 12.10. If revision of the thesis is suggested by one of the external referees, the thesis shall be revised by the candidate for resubmission as suggested by the referee. The revised thesis shall be sent to the same referee (who has suggested the revision) for evaluation.
- 12.11. If revision of the thesis is suggested by both the external referees, the thesis is considered for resubmission provided that the following conditions are fulfilled.
 - a. Half the tuition fee is to be paid by the candidate for resubmitting the thesis after revision along with examination fee.
 - b. Laboratory and examination fees are to be paid fully by the candidate for conducting research in the University Departments.
 - c. The revised thesis will have to be submitted by the candidate within themaximum period of two years.
 - d. The revised thesis shall be sent to the same referees for evaluation along with both the previous reports.
- 12.12. The Karmaveer Bhaurao Patil University shall develop appropriate method/ procedure so as to complete the entire process of evaluation of Ph. D. Thesis within a period of six months from the date of submission of the Thesis.
- 12.13. The university shall evolve a mechanism using well developed software and gadgets to detect plagiarism and other forms of academic dishonesty. While submitting for evaluation, the dissertation / thesis shall have an undertaking from the research scholar and a certificate from the Research Supervisor attesting to the originality of the work, vouching that there is no plagiarism and that the workhas not been submitted for the award of any other degree / diploma of the same University where the work was carried out, or to any other University / Institution. (Please see Annexure III)

R.R.D. 13. SIZE, STYLE & BINDING OF DISSERTATION / THESIS:

- 13.1. A candidate submitting Ph.D. Thesis for the award of Ph.D. Degree is required to follow the rules regarding the font, style and binding of thesis, except in special case in which the Guide/Supervisor or Head of the Department recommends that it will not be possible to comply with the requirements of these rules by giving reasons and with the approval of RAC.
- 13.2. The Thesis shall be written with following Specifications.
 - i. For Dissertation/ Thesis writing "Times New Roman" font shall be used. Size of the paper shall be A-4 size, except for drawings, graphs, photographs and maps on which no restriction is placed. A margin of 3.5 cms be maintained with a line

spacing of 1.5. A thesis shall be bound in a standardized form and shall be printed on both sides.

- ii. The title, degree, year, subject, faculty, name of the student and the Guide/Supervisor shall be printed neatly and legibly on the front cover as indicated in Annexure-VI
- iii. A thesis which consists of a collection of pamphlets or excerpts / published papers or a single pamphlet must be bound in a similar manner as said above.
- iv. The candidate shall submit four hard bound copies (black cover with golden embossed letters) of Dissertation/ Thesis along with ten copies of the abstract in case of M. Phil, twenty copies of the abstract in case of Ph.D and four CD containing soft copy in PDF format. A candidate may be permitted to submit the abstract and final thesis separately.
- v. The PH.D. Dissertation/ Thesis shall contain:
 - a. Results of the research stating whether the work is based on the discovery of newfacts by the candidate or of new relations of facts observed by others and how the work contributes to the general advancement of knowledge.
 - b. b. The candidate shall indicate the sources from which his/her information has been derived and the extent to which his/her work is based on the work of others and shall indicate which portion or portions of his/her thesis he/she claims to be original
 - c. The candidate's Declaration.
 - d. The Guide/Supervisor's Certificate.
 - e. The language of Ph.D. thesis shall be English except for the theses in modern European and Indian languages.
 - f. A candidate submitting his / her thesis in the subject relating to Social sciences, Education, Physical education, Arts & Fine arts may write his / her thesis in Marathi provided the same is recommended by the Guide/Supervisor submitted through Head of the Department and is approved by the RRC. However a candidate has to submit a synopsis of thesis in English.
 - g. The language used in the thesis should be grammatically correct and of appropriate standard with no typographical mistakes.

R.R.D. 14. SUBMISSION:

- 14.1. The candidate will not be allowed to submit his/her Thesis unless he/she completes the course work as prescribed under these rules.
- 14.2. A candidate may submit one copy of draft thesis (spiral bound) after the completion of three years from the date of registration along with the passing certificate of course work, to the Head of the Department for scrutiny by RAC.
- 14.3. Prior to the final submission of the dissertation/thesis, the research scholar shall make a presentation before the Research Advisory Committee of the Karmaveer Bhaurao Patil University, which shall also be open to all faculty members and other research scholars. Thefeedback and comments obtained from them may be suitably incorporated into the draft Dissertation/thesis in consultation with the Research Advisory Committee.
- 14.4. The final thesis shall be submitted to the university office through Head of the Department / Chairman of RAC.

R.R.D. 15. OPEN DEFENCE:

There shall be a viva-voce with open defence. The open defence shall be conducted onlyafter receiving the positive reports from the referees. One of the external referees nominated by the Hon. Vice-Chancellor and the internal referee shall conduct the open defence of the candidate within one month. The Head of the Department or the Dean of the concerned faculty or the teacher in the subject concerned nominated by the Hon. Vice-Chancellor shall act as Chairperson for the open defence. The other external referee may send questions in writing alongwith the evaluation report to seek clarification on any point in the thesis at the viva-voce. The queries raised by the external referees in their reports be placed before the open defence committee. The open defence of the candidate shall be conducted as follows.

There shall be a viva-voce with open defence. The open defence shall be conducted only after receiving the positive reports from the referees. One of the external referees nominated by the Hon. Vice-Chancellor and the internal referee shall conduct the open defence of the candidate within one month. The Head of the Department or the Dean of the concerned faculty or the senior teacher in the subject concerned nominated by the Hon. Vice-Chancellor shall act as Chairperson for the open defence. The other external referee may send questions in writing alongwith the evaluation report to seek clarification on any point in the thesis at the vivavoce. The queries raised by the external referees in their reports be placed before the open defence committee. The open defence of the candidate shall be conducted as follows.

- 15.1. After the receipt of positive report from external referees, the date and time for open defence shall be arranged in consultation with one external referee by the Chairperson, who is to conduct the open defence along with the internal referee (Research Guide/ Research Supervisor).
- 15.2. The Chairperson, external referee and the Guide/Supervisor shall prepare a joint report of the open defence and forward it to the concerned section of Karmaveer Bhaurao Patil University. They shall recommend either the award of the degree to the candidate or a fresh open defence within six months, if the performance of the candidate is unsatisfactory.
- 15.3. If both the external referees communicate unwillingness to conduct the open defence, Hon. Vice-Chancellor will nominate the next referee from the list provided by the Research and Recognition Committee.

R.R.D. 16. DECLARATION OF RESULT:

- 16.1. The Viva-Voce/ Open Defence will be held only after fulfillment of the allessential requirements.
- 16.2. The date of Viva-Voce/ Open Defence shall be considered to be the date of declaration of result.
- 16.3. On the final approval of the Hon. Vice-Chancellor, the Director of Examinations and Evaluation shall declare the result after the fulfillment of all conditions.
- 16.4. Along-with the degree, the concerned section shall certify to the effect that the Degree has been awarded in accordance with the provisions of the UGC rules and regulations as published in the Gazette of India dated 7th November, 2022.

R.R.D. 17. ADDITIONAL PH.D. DEGREE :

17.1. A candidate seeking admission for the additional Ph.D. degree he/she will have to complete the admission process in the subject for which the candidate wishes to register for Ph.D.

R.R.D. 18. CANCELLATION OF PH.D. ADMISSION AND REFUNDPOLICY

- **18.1** A student can cancel his/her admission by submitting an application through his/her Research Guide, by paying the outstanding fees as prescribed under these rules. The following conditions will be applicable for cancellation of admission.
- (a) In case, a student applies for cancellation of admission within six months after receiving provisional admission letter:- The Student will have to apply along with processing fee of Rs. 2000/-.
- (b) In case, a student applies for cancellation of admission within one year after receiving registration letter:- The candidate will have to apply along with the annual fee with effect from the date of registration.
- (c) In case, a student applies for cancellation of admission after completion of the coursework successfully:- The candidate will have to apply along with the annual fee with effect from the date of registration.
- (d) In case, a student applies for cancellation of admission after completion of three years duration of Ph.D. Programme (with effect from the date of registration):
 - i. The Ph.D. student will have to apply along with the receipts of entire fee paid for three years duration.
- **18.2.** The university shall cancel the admission of a candidate if he/ she fails to fulfill the necessary conditions/requirements, as prescribed by rules or the conditions mentioned in the allotment letter/provisional admission letter. The student's admission for Ph.D. will stand automatically cancelled if he/she doesn't fulfill the terms and conditions as mentioned above. However, the student will have to pay required fee as per rules, to get T.C./ Migration Certificate, as the case may be. The student will have to submit no dues certificate from respective Sections/ Department for cancellation of admission or after completion of Ph.D. Successfully.

Sr. No.	Status of Admission	Refund Policy
1.	If admission is cancelled in	Refund the fee except registration fee
	accordance with the provisions of	and eligibility fee. However the Student
	R.R.D.18.1. (a) and in case the	will have to apply along with
	concerned student has remitted	processing fee of Rs. 2000/
	the annual fee of Ph.D. programme	
	for that the particular academic	
	year.	
2.	If admission is cancelled in	i. Refund the amount of Deposits
	accordance with any other	(Laboratory/ Library/ other): To be
	provisions under these rules,	refunded 100%
	except the provision of R.R.D.	ii. Tuition and other fees will not be
	18.1. (a)	refunded.

18.3. Refund Policy in case of Admission Cancellation.

R.R.D. 19. TREATMENT OF PH.D. THROUGH DISTANCE MODE/PART TIME:

- 19.1. Karmaveer Bhaurao Patil University does not offer Ph.D. Programme through distance or online mode.
- 19.2. Part-time Ph. D. will be allowed, provided all the conditions mentioned in these rules and the University Grants Commission (Minimum Standards and Procedure for Award of PH.D. Degrees) Regulations, 2022 are complied with.

A candidate willing to pursue Ph.D through part-time mode need to submit "No Objection Certificate" to the University, taken from the appropriate authority in the organization where the candidate is employed, clearly stating that:

i. The candidate is permitted to pursue studies on a part-time basis.

ii. His/her official duties permit him/her to devote sufficient time for research.

iii. If required, he/she will be relieved from the duty to complete the course work.

R.R.D. 20. AWARD OF PH.D. DEGREES PRIOR TO NOTIFICATION OF UGC REGULATIONS 2022, OR DEGREES AWARDED BY FOREIGN UNIVERSITIES:

- 20.1. Award of Ph.D. degrees prior to Notification of these Regulations.- Award of degrees to candidates registered for the Ph.D. programme on or after July 11, 2009, till the date of Notificationof these Regulations shall be governed by the provisions of the UGC (Minimum Standards and Procedure for Award of M.Phil./Ph.D. Degree) Regulations, 2009 or the UGC (Minimum Standards and Procedure for Award of M.Phil./Ph.D. Degrees) Regulations, 2016 as the case may be. Further, the award of degrees to candidates already registered and pursuing Ph.D. shall be governed by these Regulations or UGC (Minimum Standards and Procedure for Award of M.Phil./Ph.D. Degree) Regulations or UGC (Minimum Standards and Procedure for Award of M.Phil./Ph.D. Degree) Regulations, 2016. Nothing in these Regulations shall impact the M.Phil. degree programmes commencing prior to the enactment of these Regulations.
- 20.2. If the Ph.D. Degree is awarded by a Foreign University, the Karmaveer Bhaurao Patil University, Satara shall refer the issue to a Standing Committee constituted for the purpose of determining the equivalence of the Degree awarded by the foreign University.

R.R.D. 21. DEPOSITORY WITH INFLIBINET:

21.1. Following the successful completion of the evaluation process and before the announcement of the award of the Ph.D. Degree, the Karmaveer Bhaurao Patil University, Satara shall send the CD of Ph. D. thesis to Knowledge Resource Centre of Karmaveer Bhaurao Patil University, to submit an electronic copy of the Ph. D. Thesis to the INFLIBNET, for hosting the same so as to make it accessible to all institutions/colleges.

R.R.D. 22. PROVISIONAL CERTIFICATE:

22.1. Prior to the actual award of the Degree, the Karmaveer Bhaurao Patil University, Satara shall issue a provisional Certificate to the effect that the Degree has been awarded in accordance with the provisions of University Grants Commission (Minimum Standards and Procedure Award of Ph.D. Degrees Regulations, 2022)

ANNEXURE –I Regulations for Prevention of Plagiarism

(UNIVERSITY GRANTS COMMISSION (PROMOTION OF ACADEMIC INTEGRITY AND PREVENTION OF PLAGIARISM IN HIGHER EDUCATIONAL INSTITUTIONS) REGULATIONS, 2018)

P.P. 1. Scope, application and commencement:

- i. These regulations are mandated to coordinate and determine the standards of higher education; And whereas, assessment of academic and research work done leading to the partial fulfillment for the award of degrees at Masters and Research level, by a student or a faculty or a researcher or a staff, in the form of thesis, dissertation and publication of research papers, chapters in books, full-fledged books and any other similar work, reflects the extent to which elements of academic integrity and originality are observed in various relevant processes adopted by University.
- ii. These Regulations shall apply to the students, faculty, researchers and staff of Karmaveer Bhaurao Patil University and its Constituent colleges/recognized Institutes.
- iii. These regulations shall come into force with effect from 31 July, 2018 and shall be applicable for submission from 31 July, 2018 onwards.

P.P. 2. Definitions:

In these regulations, unless the context otherwise requires—

- a. "Academic Integrity" is the intellectual honesty in proposing, performing and reporting any activity, which leads to the creation of intellectual property;
- b. "Author" includes a student or a faculty or a researcher or staff of University who claims to be the creator of the work under consideration;
- c. "Commission" means the University Grants Commission as defined in the University Grants Commission Act, 1956;
- d. "Common Knowledge" means a well known fact, quote, figure or information that is known to most of the people;
- e. "Degree" means any such degree specified by the University Grants Commission, by notification in the Official Gazette, under section 22 of the University Grants Commission Act, 1956;
- f. "Departmental Academic Integrity Panel" shall mean the body constituted at the departmental level to investigate allegations of plagiarism;
- g. "Faculty" refers to a person who is teaching and/or guiding students enrolled in an University in any capacity whatsoever i.e. regular, ad-hoc, guest, temporary, visiting etc;
- h. "Higher Educational Institution (University)" means a University recognized under section 2(f) of the UGC Act, 1956 or an institution deemed to be university under

section 3 of the UGC Act, 1956 or an affiliating college / institution or a constituent unit of a university;

- i. "Information" includes data, message, text, images, sound, voice, codes, computer programs, software and databases or microfilm or computer generated microfiche;
- j. "University Academic Integrity Panel" shall mean the body constituted at University level to consider recommendations of the departmental academic integrity panel and take appropriate decisions in respect of allegations of plagiarism and decide on penalties to be imposed. In exceptional cases, it shall investigate allegations of plagiarism at the University level;
- k. "Notification" means a notification published in the Official Gazette and the expression "notify" with its cognate meanings and grammatical variation shall be construed accordingly;
- 1. "Plagiarism" means the practice of taking someone else's work or idea and passing them as one's own.
- m. "Programme" means a programme of study leading to the award of a masters and research level degree;
- n. "Researcher" refers to a person conducting academic / scientific research in University;
- o. "Script" includes research paper, thesis, dissertation, chapters in books, full-fledged books and any other similar work, submitted for assessment / opinion leading to the award of master and research level degrees or publication in print or electronic media by students or faculty or researcher or staff of University; however, this shall exclude assignments / term papers / project reports / course work / essays and answerscripts etc.;
- p. "Source" means the published primary and secondary material from any source whatsoever and includes written information and opinions gained directly from other people, including eminent scholars, public figures and practitioners in any form whatsoever as also data and information in the electronic form be it audio, video, image or text; Information being given the same meaning as defined under Section 2 (1) (v) of the Information Technology Act, 2000 and reproduced here in Regulation 2 (l);
- q. "Staff" refers to all non-teaching staff working in University in any capacity whatsoever i.e. regular, temporary, contractual, outsourced etc.;
- r. "Student" means a person duly admitted and pursuing a programme of study including a research programme in any mode of study (full time or part-time or distancemode);
- s. "University" means a university established or incorporated by or under a Central Act, a Provincial Act or a State Act, and includes an institution deemed to be University under section 3 of the UGC Act, 1956;
- t. "Year" means the academic session in which a proven offence has been committed. Words and expressions used and not defined in these regulations but defined in the University Grants Commission Act, 1956 shall have the meanings respectively assigned to them in UGC Act, 1956.

P.P. 3. Objectives :

- 3.1 To create awareness about responsible conduct of research, thesis, dissertation, promotion of academic integrity and prevention of misconduct including plagiarism in academic writing among student, faculty, researcher and staff.
- 3.2 To establish institutional mechanism through education and training to facilitate responsible conduct of research, thesis, dissertation, promotion of academic integrityand deterrence from plagiarism.
- 3.3. To develop systems to detect plagiarism and to set up mechanisms to prevent plagiarism and punish a student, faculty, researcher or staff of University committing theact of plagiarism.

P.P. 4. Duties of University:

University should establish the mechanism as prescribed in these regulations, to enhance awareness about responsible conduct of research and academic activities, to promote academic integrity and to prevent plagiarism.

P.P. 5. Awareness Programs and Trainings :

- (a) University shall instruct students, faculty, researcher and staff about proper attribution, seeking permission of the author wherever necessary, acknowledgement of source compatible with the needs and specificities of disciplines and in accordance with rules, international conventions and regulations governing the source.
- (b) University shall conduct sensitization seminars/ awareness programs every semester on responsible conduct of research, thesis, dissertation, promotion of academic integrity and ethics in education for students, faculty, researcher and staff.
- (c) University shall :
 - i. Include the cardinal principles of academic integrity in the curricula of Undergraduate (UG)/Postgraduate (PG)/Master's degree etc. as a compulsory course work/module.
 - ii. Include elements of responsible conduct of research and publication ethics as a compulsory course work/module for Masters and Research Scholars.
 - iii. Include elements of responsible conduct of research and publication ethics in Orientation and Refresher Courses organized for faculty and staff members of theUniversity.
 - iv. Train student, faculty, researcher and staff for using plagiarism detection tools and reference management tools.
 - v. Establish facility equipped with modern technologies for detection of plagiarism.
 - vi. Encourage student, faculty, researcher and staff to register on international researcher's Registry systems.

P.P. 6. Curbing Plagiarism

- a) University shall declare and implement the technology based mechanism using appropriate software so as to ensure that documents such as thesis, dissertation, publications or any other such documents are free of plagiarism at the time of their submission.
- b) The mechanism as defined at (a) above shall be made accessible to all engaged in research work including student, faculty, researcher and staff etc.
- c) Every student submitting a thesis, dissertation, or any other such documents to the University shall submit an undertaking indicating that the document has been prepared by him or her and that the document is his/her original work and free of any plagiarism.
- d) The undertaking shall include the fact that the document has been duly checked through a Plagiarism detection tool approved by the University.
- e) University shall develop a policy on plagiarism and get it approved by its relevant statutory bodies/authorities. The approved policy shall be placed on the homepage of theUniversity website.
- f) Each supervisor shall submit a certificate indicating that the work done by the researcher under him / her is plagiarism free.
- g) University shall submit to INFLIBNET soft copies of all Masters, Research program's dissertations and thesis within a month after the award of degrees for hosting in the digital repository under the "*Shodh Ganga e-repository*".
- h) University shall create Institutional Repository on University website which shall include dissertation / thesis / paper / publication and other in-house publications.

P.P.7. Similarity checks for exclusion from Plagiarism

The similarity checks for plagiarism shall exclude the following:

- i. All quoted work reproduced with all necessary permission and/or attribution.
- ii. All references, bibliography, table of content, preface and acknowledgements.
- iii. All generic terms, laws, standard symbols and standards equations.
- iv. Author's own published work.

Note: The research work carried out by the student, faculty, researcher and staff shall be based on original ideas, which shall include abstract, summary, hypothesis, observations, results, conclusions and recommendations only and shall not have any similarities. It shall exclude a common knowledge or coincidental terms, up to fourteen (14) consecutive words.

P.P.8. Levels of Plagiarism:

Plagiarism would be quantified into following levels in ascending order of severity for the purpose of its definition:

- i. Level 0: Similarities upto 10% Minor similarities, no penalty
- ii. Level 1: Similarities above 10% to 40%
- iii. Level 2: Similarities above 40% to 60%
- iv. Level 3: Similarities above 60%

P.P.9. Detection/Reporting/Handling of Plagiarism :

If any member of the academic community suspects with appropriate proof that a case of plagiarism has happened in any document, he or she shall report it to the Departmental Academic Integrity Panel (DAIP). Upon receipt of such a complaint or allegation the DAIP shall investigate the matter and submit its recommendations to the Institutional Academic Integrity Panel (IAIP) of the University.

The authorities of University can also take *suomotu* notice of an act of plagiarism and initiate proceedings under these regulations. Similarly, proceedings can also be initiated by the University on the basis of findings of an examiner. All such cases will be investigated by the IAIP.

P.P.10. Departmental Academic Integrity Panel (DAIP) :

- i. All Departments in University shall notify a DAIP whose composition shall be asgiven below:
 - a. Chairman Head of the Department
 - b. Member Senior academician from outside the department, to be nominated by the head of the University.
 - c. Member A person well versed with anti plagiarism tools, to be nominated by the Head of the Department. The tenure of the members in respect of points 'b' and 'c' shall be two years. The quorum for the meetings shall be 2 out of 3 members (including Chairman).
- ii. The DAIP shall follow the principles of natural justice while deciding about the allegation of plagiarism against the student, faculty, researcher and staff.
- iii. The DAIP shall have the power to assess the level of plagiarism and recommend penalty(ies) accordingly.
- iv. The DAIP after investigation shall submit its report with the recommendation on penalties to be imposed to the IAIP within a period of 45 days from the date of receipt of complaint / initiation of the proceedings.

P.P.11. University Academic Integrity Panel (UAIP) :

- i. University shall notify a UAIP whose composition shall be as given below:
 - a. Chairman Pro-VC/Dean/Senior Academician of the University.

- b. Member Senior Academician other than Chairman, to be nominated by the Head of University.
- c. Member One member nominated by the Head of University from outside the University
- d. Member A person well versed with anti-plagiarism tools, to be nominated by theHead of the University.The Chairman of DAIP and UAIP shall not be the same. The tenure of the

The Chairman of DAIP and UAIP shall not be the same. The tenure of the Committee members including Chairman shall be three years. The quorum for the meetings shall be 3 out of 4 members (including Chairman).

- ii. The UAIP shall consider the recommendations of DAIP.
- iii. The UAIP shall also investigate cases of plagiarism as per the provisions mentioned in these regulations.
- iv. The UAIP shall follow the principles of natural justice while deciding about the allegation of plagiarism against the student, faculty, researcher and staff of University.
- v. The UAIP shall have the power to review the recommendations of DAIP including penalties with due justification.
- vi. The UAIP shall send the report after investigation and the recommendation on penalties to be imposed to the Head of the University within a period of 45 days from the date of receipt of recommendation of DAIP/ complaint / initiation of the proceedings.
- vii. The UAIP shall provide a copy of the report to the person(s) against whom inquiry report is submitted.

P.P.12. Penalties :

Penalties in the cases of plagiarism shall be imposed on students pursuing studies at the level of Masters and Research programs and on researcher, faculty & staff of theUniversity only after academic misconduct on the part of the individual has been established without doubt, when all avenues of appeal have been exhausted and individual in question has been provided enough opportunity to defend himself or herself in a fair or transparent manner.

- **12.1 Penalties in case of plagiarism in submission of thesis and dissertations :** University Academic Integrity Panel (UAIP) shall impose penalty considering the severity of the Plagiarism.
 - i. Level 0: Similarities upto 10% Minor Similarities, no penalty.
 - **ii.** Level 1: Similarities above 10% to 40% Such student shall be asked to submit arevised script within a stipulated time period not exceeding 6 months.
 - iii. Level 2: Similarities above 40% to 60% Such student shall be debarred from submitting a revised script for a period of one year.
 - **iv.** Level 3: Similarities above 60% -Such student registration for that programme shallbe cancelled.

Note 1: Penalty on repeated plagiarism- Such student shall be punished for the plagiarism of one level higher than the previous level committed by him/her. In case where plagiarism of highest level is committed then the punishment for the same shall be operative.

Note 2: Penalty in case where the degree/credit has already been obtained - If plagiarism is proved on a date later than the date of award of degree or credit as the case may be then his/her degree or credit shall be put in abeyance for a period recommended by the UAIP and approved by the Head of the Institution.

- 12.2 Penalties in case of plagiarism in academic and research publications :
 - I. Level 0: Similarities up to 10% Minor similarities, no penalty.
 - II. Level 1: Similarities above 10% to 40%
 - i) Shall be asked to withdraw manuscript.
 - III. Level 2: Similarities above 40% to 60%

- i) Shall be asked to withdraw manuscript.
- ii) Shall be denied a right to one annual increment.
- iii) Shall not be allowed to be a supervisor to any new Master's, M.Phil., Ph.D. Student/scholar for a period of two years.

IV. Level 3: Similarities above 60%

- i) Shall be asked to withdraw manuscript.
- ii) Shall be denied a right to two successive annual manuscripts.
- iii) Shall not be allowed to be a supervisor to any new Master's, M.Phil., Ph.D. Student/scholar for a period of three years.
- **Note 1: Penalty on repeated plagiarism** Shall be asked to withdraw manuscript and shall be punished for the plagiarism of one level higher than the lower level committed by him/her. In case where plagiarism of highest level is committed then the punishment for the same shall be operative. In case level 3 offence is repeated then the disciplinary action including suspension/termination as per service rules shall be taken by the University.
- Note 2: Penalty in case where the benefit or credit has already been obtained -If plagiarism is proved on a date later than the date of benefit or credit obtained as the case may be then his/her benefit or credit shall be put in abeyance for a period recommended by UAIP and approved by the Head of the Institution.
- **Note 3:** University shall create a mechanism so as to ensure that each of the paper publication/thesis/dissertation by the student, faculty, researcher or staff of the University is checked for plagiarism at the time of forwarding/submission.
- **Note 4:** If there is any complaint of plagiarism against the Head of the University, a suitable action, in line with these regulations, shall be taken by the Controlling Authority of the University.
- **Note 5:** If there is any complaint of plagiarism against the Head of Department/Authorities at the University level, a suitable action, in line with these regulations, shall be recommended by the UAIP and approved by the Competent Authority.
- **Note 6:** If there is any complaint of plagiarism against any member of DAIP or UAIP, then such member shall excuse himself/herself from the meeting(s) where his/her case is being discussed/investigated.

Prevention of Plagiarism through a Plagiarism detection tool

- 1. Knowledge resource centre of KBPU is supposed to check plagiarism and generate report from theAnti Plagiarism software as a central control section.
- 2. The similarity checks for plagiarism shall exclude the following:
 - i. All quoted work reproduced with all necessary permission and/or attribution.
 - ii. All references, bibliography, table of content, preface and acknowledgements.
 - iii. All generic terms, laws, standard symbols and standards equations.
 - iv. Author's own published work.
- 3. Similarities upto 10% Minor Similarities no penalty.
- 4. Financial norms for plagiarism checking per research student. :
 - i. 1st submission free of cost per research student
 - ii. 2nd submission for Rs.1000/- per submission
 - iii. 3rd submission for Rs. 2000/- per submission and
 - iv. 4th submission for Rs. 5000/- per submission per research student.

ANNEXURE – II

APPLICATION FORM FOR ADMISSION TO REGULAR FULL TIME PROGRAMMES OF STUDY FOR FOREIGN NATIONAL

Dear Candidate,

Karmaveer Bhaurao Patil University International Affairs Cell Foreigner Students Admission Rules and Regulations

Thank you very much for your interest in applying and choosing Karmaveer Bhaurao Patil University for your academic career. Kindly read the rules, regulation and conditions carefully before signing and submitting your application and documents. No changes in application form would be allowed once the final application is submitted.

Please note that your signature comes as undertaking as part of process and it is mandatory to follow all the rules and regulation laid down by the University from time to time. Please remember that you are supposed to fill the application form carefully and submit all the required documents carefully specified in the application. All the procedure will be completely online and thus please mention your contact number and email where required during the filling of the application form. It is compulsory to maintain the same email and telephone number throughout and during the application process. Any inconvenience or loss of communication will be responsibility of the applicant. No excuse or complaint in this regard would be entertained. We request all International Students to strictly follow the procedure. Admission will not be accepted for those who refuse to follow the rules. Please note that provisional admission does not guarantee final admission to course. The admissions will be granted based on the merit and the number of seats available for the said course. Maximum of two students of same country for same course are permissible to ensure diversity in the nationality of the foreign students. Your admission will be confirmed only on reporting to the University and Department with original documents, your eligibility form is filled and eligibility is cleared by verifying the documents (academic and visa stay documents). Acquiring appropriate visa is the responsibility of the student and reporting without appropriate visa will not be considered for enrolment.

Kindly fill complete the online application with your documents uploaded as PDF file. Please make sure that all your documents bear your name exactly as written in your passport and academic records. Enclose all required documents as specified in their original form or as certified copies. Documents must be written in English or be official certified translations approved by the authorities. Please submit the hard copy of the online submitted application to International Affairs Cell via post within 20 days from the last

date of filling and submitting application form. Please contact the following contact personal for any doubts and or questions regarding to submitting of the application form and the documents.

Prof. (Dr.) Anil V. Ghule Director, International Affairs Cell (IAC) Karmaveer Bhaurao Patil University, Satara (MS) India. Email: <u>dir.iac@unikbp.ac.in</u>

Rules and Regulations:

1. For admission process, please collect and submit all the relevant photocopies of the document, including passport and the ID size photograph. Passport is compulsory. After preliminary verifying the documents, provisional admission letter would be issued with the condition that the final admission letter would be given only after confirmation of the eligibility. Provisional admission letter along with required documents could be used for obtaining appropriate student/research visa from the Indian Embassy in your country.

It is to be noted that final admission will be considered and granted only when you report with appropriate visa endorsed for Karmaveer Bhaurao Patil University i.e. for U.G. and P.G. Student: Student Visa is required and for Ph.D. Program Research Visa is required. Please note that your admissions will be considered only from the day you report with appropriate visa. Research visa is mandatory for reporting to the University in case of Ph.D. student.

- 2. Certificate of Financial Responsibility (Financial Undertaking Letter) is compulsory to be submitted in embassy of India in your country for visa and the Xerox of that certificate has to be submit along with other documents to IAC office.
- 3. Please note that provisional admission letter does not warrant admission to the said course. On your arrival in India and Karmaveer Bhaurao Patil University, you need to submit and verify your original documents (including your passport, student visa, academic documents) at IAC office for initiating the admission process.
- 4. International students coming for the first time in India for study and at Karmaveer Bhaurao Patil University, Satara should note and verify that their visa is endorsed for Karmaveer Bhaurao Patil University, Satara "Organization Institute". Admission will not be processed or granted for those with Institute organization other than Karmaveer Bhaurao Patil University, Satara. Students transferringfrom other Universities in India for UG/PG program will have to produce "No Objection Certificate" from last university attended and it is mandatory requirement.
- 5. Please note that, for international students who's last degree is in foreigner country (outside India), it is necessary to produce **AIU equivalence certificate** for the qualifying degree which could be obtained from (Association of Indian university, AIU) either prior to submitting the application or after reporting to the University within the period of two months or else the admission will be deemed cancelled. In case students migrating/transferring from other Universities in India, it is necessary

to produce the **Migration Certificate** within the two months of reporting to be considered for final admission. Submitting appropriate documents in time is the responsibility of the students and no complains or excuses will be entertained thereafter, and the University will not be responsible for any losses incurred in any case and in any form.

- 6. Please note that, on your arrival in India, it is necessary to complete the registration processin the "Foreigners Registration Office" within 14 days from the date of arrival. IAC will only provide the required documents for the process only on reporting with original documents and verification of the same. University will not be responsible for any delay in reporting to and registration in FRRO, Kolhapur.
- 7. International students coming for the first time and from non-English speaking countries needto qualify the PET (Professional English Test), which will be organized on the university campus. Attending and clearing the PET exam is mandatory for the international students. Those not able to clear the exams will have to join the Professional English Classes on the campus organized by the Department of English for which the students need to bare the additional fees for the same and continue the class till he/she clears the Professional English Test. Admission will be kept on hold till the student clears the PET exam.
- 8. Usually the academic year is considered from June till May unless otherwise specified, andthe courses usually start from July, and thus, it essential to report to IAC the latest by August 1.
- 9. One time full payment of academic annual fees will have to be paid at the time of joining or within two months from the beginning of the academic year for enrolled students. Late fees will be applicable for any delay in payment of fees. Please note that, the fees including tuition fees once paid would not be refunded in any case.
- 10. Procuring Medical insurance from the date of reporting till valid period of the course is mandatory and has to be procured within two months from the date of arrival in India.
- 11. It is mandatory for all the foreign students to strictly follow the rules and regulation stipulated by the University from time to time. Violation of rules and regulations would result in strict action against the concerned student which might lead to cancellation of the admission and no excuses/objection in this matter would be entertained and the student would be responsible for the consequences.
- 12. It is responsibility of the students to submit the updated documents in IAC like change in residence, address, Residential permit, etc.
- 13. Document with Prior permission from concern Guide/Head of Department has to be submitted to IAC in case student/Research Scholar is visiting other city for academic/personal reasons during the academic year. Any discrepancy in the record will be reported to the nearest police station, FRRO Mumbai and respective Embassy for record purpose as per the provisions of the law. Violation of visa rules would not be entertained in any case.
- 14. Once admitted for particular course in Karmaveer Bhaurao Patil University, Satara, it is the responsibility of the student to maintain the attendance report and make sure the percentage attendance is more than 75% as stipulated by UGC and

University rules and regulations. Please note that the **Biometric Attendance** is compulsory to all the UG, PG and Ph.D. students. It is mandatory to provide monthly attendance of the students and Research scholars to DSP Office, FRRO, ICCR and respective embassies as per the office orders from DSP office and FRRO Mumbai. The attendance will be shared with DSP Office, FRRO Kolhapur, ICCR, concerned department and embassy of the respective countries of the origin of the student. It is important to note that the admissionthrough transfer cases would not be granted in any case and it is mandatory for the students to procure Student Visa for Karmaveer Bhaurao Patil University(UG and PG Courses) and Research Visa for Karmaveer Bhaurao Patil University(Ph.D. course). The date on which the appropriate Visa (Student/Research Visa) was issued or the date of reporting to the University (whichever is late) with all the valid and attested documents would be considered the joining date and valid student. Vacation period will be 1-2 months per year in line with vacations announced in the University. Vacation more than two months will not be granted without valid reason and permission. Admissions will be cancelled for students not following the University rules and those who are absent on campus/vacation for more than 5 months without valid permission.

- 15. Once an international student has taken admission in a particular College/ Institution he/ she shall not be allowed to change the College/ Institution if the name of that College/ Institution is endorsed/ mentioned in the students Visa. In other words, he/ she shall be required to study in the College/ Institution which is mentioned in the student's visa. In special circumstances, an International student seeking a transfer from one college to another must apply to the International Affairs Cell for such a transfer with NO OBJECTION LETTER from the Principal of the College where he/she was originally admitted and an ACCEPTANCE LETTER from the Principal of the college only after he/ she is issued a TRANSFER LETTER by the International Centre.
- 16. Admissions to all the postgraduate courses being offered in the P.G. Centers have been centralized and admission letters to International Students shall be issued by the Officeof the Director, International Affairs Cell. In other words, Principals of affiliated colleges where UG and PG centers are located shall not grant admission to International students directly. An International student wishing to undertake M.Phil./ Ph.D. or any other research degree in the University or any other college or institute recognized by the university, MUST have prior security clearance from the Ministry of Human Resource Development, New Delhi and MUST BE on "RESEARCH" visa endorsed to Karmaveer Bhaurao Patil University Only.
- 17. All International Students who are already registered under Karmaveer Bhaurao Patil University and want to continue their next degree under Karmaveer Bhaurao Patil University will have to pay eligibility and registration fees.
- 18. International Students who have passed the qualifying examination from outside of India and require fresh "Students Visa" for admission to various general courses and courses in the Faculties of Management and Pharmaceutical Science. For such students, the admissions have been centralized and it is the office of the Director, International Affair Cell, which will issue the letter of provisional admission as per the procedure and rules laid down in this regard. Fresh International Students are required to pay Registration fees in addition to other Fee (Application form and Eligibility fees).
- 19. International Students who are not registered with the International Affair Cell shall

not be permitted to appear at the University examinations and activities conducted under the University umbrella.

- 20. Foreign student applicants below the age of 18 years at the time of submitting the application for admission in Karmaveer Bhaurao Patil University, Satara must also procure the signature of their parents or legal guardians as parental consent. Admission will not be confirmed without the valid documents and consent from the parents. Tampering/fabricating documents and or producing false documents, is an offense in India. If found guilty, admission would be cancelled and strict action would taken on the offender as per the laws of the land.
- 21. Undergraduate courses (U.G. programs) are usually organized in Constituent colleges of Karmaveer Bhaurao Patil University. All applications of the foreign student applicants will be processed from the University admission portal. No admission will be granted or eligibility documents processed if the applications are not processed through proper channel. Provisional admission letter for UG/PG admission will be issued by the IAC office on pre-screening the eligibility application within two months from the date of reporting to College/University is mandatory to get eligibility clearance from the University office. Any delay in submitting and processing the eligibility application for unprecedented circumstances would be responsibility of the concerned applicant. Late fees will be charged as Rs. 1000 per semester for the number of semester delayed. Foreign students with provisional admission letter from IAC office should contact the respective admitted college for further processing of the documents and completing the admission process and also for FRRO registration and visa extensions.
- 22. Medical check-up and medical certificate with all relevant test report obtained from authorized and recognized government hospitals should be provided. Medical Certificate from government hospitals regarding to health of internationals is compulsory. It is also mandatory to undergo health check-up in India in hospitals prescribed by the University Health Centre on reporting to Karmaveer Bhaurao Patil University, Satara. Final admission would be not be granted if found unfit.
- 23. It is mandatory for all the internationals students to take the follow up of the Department/University/IAC Notices/ Circulars/Instructions being displayed on the notice boards/uploaded on the College/Institution/University/ webpage from time totime. No excuse or complaints would be entertained in case the student misses any academic activity owning to his gross negligence.

Declaration by the Candidate

I, declare that I fulfil the minimum eligibility requirements as prescribed by the University for the admission to the programme of study for which I have applied. I further declare that entries made by me in this form and the documents submitted in support of the information furnished by me in the Application Form are true in all respects and in case any entry or information or documents are found to be false, this shall entail automatic cancellation of my admission besides rendering me liable to such action as the University may deem proper. I note that my admission to the Universityand my continuance on its rolls are subject to the provisions of the Statutes of the University. In the event of my selection/joining the University I will pay the required tuition fee and incidental charges and shall not ask for any financial assistance or waiver in fee during the tenure of the Programme of Study. I shall abide by the rules of discipline and proper conduct which may be framed in this regard.

Name and Signature of the candidate

Email: Tel. Number: Place: Date:

KARMAVEER BHAURAO PATIL UNIVERSITY SATARA-415001, MAHARASHTRA, INDIA

APPLICATION FORM FOR ADMISSION TO REGULAR FULL TIME PROGRAMMES OF STUDY FOR FOREIGN NATIONAL (TO BE CONSIDERED 'IN ABSENTIA')

Application is being submitted for				(Course/Program) i						
the	Department of	f	or	the	Academic	Year				
Date	e of Joining/Reporting:		(To be	filled by Offic	ce)				
1.	Name in full (in block letters a	is in the passport)								
	1(a) Date of Birth:	(DD/MM	/YY	YYY)						
	1(b) Gender:									
	1(c) Blood Group:	1(d). Physical	lly h	andica	apped: YES/N	10				
2(a).	Father's/Husband's/Guardian'	s Name:								
2(b).	Occupation (Father/Guardian):									
2(c)	Contact No.:	E-mail:								
2(d).	Mother's Name:									
2(e).	Mother's Occupation:									
2(f).	Contact No.:	E-mail:								
3(a).	Address for Correspondence (in	n block letters)								
Cour E-M	•	Telephone No.:			Mobile N	o.:				

3(b). Permanent Address (in block letters):

Country: E-Mail:

Telephone No.:

Mobile No.:

4(a). Nationality:

4(b) Country of Residence:

5. Permanent Unique ID of your country:

6. (a) Passport Number :

- (b) Place of issue:
- (c) Passport Issue Date:
- (d) Passport Expiry Date:

7. (a) Visa Number (If already in India):

- (b) Place of issue:
- (c) Visa Issue Date:
- (d) Visa Expiry Date:

8. (a) Residential Permit (RP) (If already in India):

- (b) Place of issue:
- (c) RP Issue Date:
- (d) RP Expiry Date:

9.(a) Programme of Study (Course applied for) : Bachelor/Master/ Ph.D.

- (b) Name of the Course/Program you wish to study:
- (c) School/College/Department:
- (d) Hostel accommodation required:
- 10.(a) Knowledge of English (Proficient/ Good/Average/Poor) Written: Reading: Spoken:

10(b) TOEFL, GRE, IELTS/any other qualifying exams score:

10(c)** Write Essay on any one of the topics listed below (include additional page as attachment).

- (i) Myself and my educational journey
- (ii) My present and future goals
- (iii) Good inspiring incidence/experience in past
- (iv) Education and social life
- (v) Contribution of technology in education

11. The candidate should state the source of funds (duly supported by documentary evidence) as to how he/she proposes to meet the total expenses for studies at theUniversity in the event of admission.

******Note:** Allotment of the Colleges is done by International Affairs Cell, Karmaveer Bhaurao Patil University, Satara. Once the admission is confirmed, no change in either course/college/institute/University will be permitted by the University.

12. Employment and Employment History (Please note that, if employed, NOC from

the previous employer is mandatory)

12(a) Present employment, if employed.

- 12(b) Organization and address:
- 12(c) Position held:
- 12(d) Period:
- 12(e) Nature of duties:
- 12(f) Salary per month:

13(a). Scholarship/Fellowship/Funds currently drawn with details of funding agency (Amount equivalent to Indian rupees) :

13 (b). Whether interested in applying for scholarship in India (National/Karmaveer Bhaurao Patil University Scholarship)

14. Academic Record

Note: Take into account only marks in the subjects which are counted for awarding class/division.

Examination Passed		marks or	Name of the School/ College/ Institution/ University	Subject/Papers offered
*High School (10 th Grade)				
*Sr. High School (10+2) Intermediate or equivalent				
*Bachelor's Degree				
*Master's Degree				
TOEFL,GRE, IELTS etc.				
Any other examination				

*Strike off the name of the examination which is not applicable. Preference will be given to candidates qualifying TOEFL/ IELTS/GRE exams and those securing higher ranks in qualifying degree.

Please indicate Pattern of Education and the country from where you have qualified the last examination

(For example: in India: High School Certificate in 10 years + Sr. School Certificate in 2 years + Bachelor Degree in 3 years + Master Degree in 2 years)

15. References: (Please provide two letters of academic references/recommendations to be submitted online/by email by the recommender on the official letter pad).

	Reference 1 (Teacher)	Reference 2			
Name					
Designation					
Address					
E-mail					
Tel/Mobile No.					
Fax Number					

16. Details of close relatives or friend in India (if any)

17. Emergency contact detail: (for contacting in case of emergency)

	Emergency Contact 1	Emergency Contact 2 (Local in India)
Name		
Relation		
Address		
E-mail		
Telephone No.		
Mobile No.		

- 18. Have you travelled/lived in India in the past? YES/NO
- 19. Are you currently residing in India? YES/NO
- 20. Are you married to Indian National? YES/NO
- 21. Do you have international driving license? YES/NO

Check List: Certificates and documents required to be submitted by all candidatesalong with this form while seeking admission to various programmes of study.

- a) Duly attested copies of Junior, Senior School Certificate, Bachelor's Degree, Master Degree etc. and relevant mark sheets/transcripts.
- b) Candidates who have passed the qualifying examination from a foreign university should submit all the relevant information/documents which may justify the equivalence of their qualifications. (AIU equivalence certificate is essential to prove the qualifying degree equivalence. The equivalence certificate should be produced at the time of admission or within two months of joining. The admission will be deemed cancel if equivalence certificate is not produced in specified time. No excuses would be entertained what so ever unless otherwise recommended by the Karmaveer Bhaurao Patil University authority under crucial circumstances.)
- c) Mark-sheets/Degrees in a language other than English should be got translated into English language and a copy of the same duly attested be attached along with the original document. You must carry your original certificates/documents at the time of reporting/joining. Please note that this is the mandatory requirement otherwise your admission will be cancelled and the University will not be responsible for this.
- d) A Character Certificate from the Head of the Institution last attended
- e) Details of Bank account
- f) A certificate from the Bank of the applicant to the effect that sufficient funds are available in the account of the applicant to sustain his/her studies in India for the duration of the programme.
- g) Copy of Passport
- h) Medical Insurance document(s). Candidate are required to submit medical insurance documents for the duration of the programme at the time of admission/registration
- i) Intent letter/Statement of purpose
- j) Two recommendation letter-direct link for upload/email.

- k) Medical certificate to be produced from authorized/recognized Government Hospitals.
- Candidates seeking admission for research programs (M. Phil., Ph. D., Post-Doctoralprograms etc.) should consult the relevant faculty (guide/supervisor) and get their consent letter prior to submitting the application. Applications for research programs will be accepted throughout the year but the admission would be granted only at the beginning of each academic year.
- m) Details of Bank account for payment of fees (admission/tuition/miscellaneous etc. Cheque/ Draft in favor of Finance and Accounts Officer / Online transfer through Payment Gateway.
- n) Complete application along with the essential attachments be compiled as a single PDF file and email the same to Office of International Affairs Cell, Karmaveer Bhaurao Patil University, Satara 415001 Maharashtra, India. Office E-mail: (oiac@unikbp.ac.in).

Declaration by the Candidate

I declare that I fulfil the minimum eligibility requirements as prescribed by the University for admission to the programme of study for which I have applied. I further declare that entries made by me in this form and the documents submitted in support of the information furnished by me in the Application Form are true in all respects and in case any entry or information or documents are found to be false, this shall entail automatic cancellation of my admission besides rendering me liable to such action as the University may deem proper. I note that my admission to the University and my continuance on its rolls are subject to the provisions of the Statutes of the University. In the event of my selection/joining the University I will pay the required tuition fee and incidental charges and shall not ask for any financial assistance or waiver in fee during the tenure of the Programme of Study. Fees once paid are not refundable. I shall abide by the rules of discipline and proper conduct which may be framed in this regard. Only complete applications will be considered for further processing.

Name and Signature of the candidate

Email: Tell Number: Date:

Admission Procedure and Policy

Admission Procedure and Policy for Foreign Students Seeking Admission in Karmaveer Bhaurao Patil University/ Constituent Colleges of Karmaveer Bhaurao Patil University, Satara

A) Online Application:-

(I) Online Application for seeking admission to Bachelors Degree programme or Masters Degree programme:-

Foreign students seeking admission to under (UG) programme or post graduate programme (PG) (Bachelors degree (UG) / Masters Degree (PG) Programme) at Karmaveer Bhaurao Patil University, Satara and Constituent Colleges of Karmaveer Bhaurao Patil University, Satara need to apply online for the specified course and by uploading requisite documents for the same. The hardcopy of the application along with the documents duly attested should be sentby post to the **Director, International Affairs Cell, Karmaveer Bhaurao Patil University, Satara 415001 Maharashtra, India**. There will be no entrance test for admission to UG/PG programmes. The provisional admissions will be granted in absentia based on the merit of the student after screening the documents and checking the eligibility criteria.

For more details, please visit the University Webpage: <u>http://www.unikbp.ac.in/</u> and/or write to <u>pgadmission@unikbp.ac.in</u>

II) Online Application for seeking admission to M.Phil/ Ph.D. Programme:-

Foreign students seeking admission for M. Phil.(Master of Philosophy) or Ph.D. (Doctor of Philosophy) programs at Karmaveer Bhaurao Patil University, Satara need to apply online for the specified course by filling the online form and by uploading requisite documents for the same. The hardcopy of the application along with the documents duly attested should be sent by post to the **Director, International Affairs Cell, Karmaveer Bhaurao Patil University, Satara 415001 Maharashtra, India**. Consulting with prospective Guide and procuring consent letter is mandatory.

[Note: The students of foreign origin seeking admission for M.Phil. or Ph.D. will be

exempted from the entrance exams. The selection of the students would be based on the merit, English proficiency and considering the eligibility criteria laid down by the University from time to time. However, prior consultation of the prospective guide/supervisor of specific subject on the research plan and procuring the consent letter is mandatory.]

For more details, please visit the University Webpage: [IAC Link] <u>http://www.unikbp.ac.in/</u>

B) Admission:-

Admissions will be granted under following different category:

- 1. Self-financing Students (Full programme):
- 2. Cultural Exchange Fellowship Programmes (Government of India)
- 3. Cultural Exchange Fellowship Programmes (Government of Parent country)
- 4. Students -under Memorandum of Understanding (MoU)
- 5. Students-not under Memorandum of Understanding (MoU) but from SAARC countries.

C) Admission Procedure:

- (I) For undergraduate programmes : For admission procedure, please visit:- http://www.unikbp.ac.in/ [IAC Link]
- (II) For postgraduate programmes under Self-financing category:
 - The Foreign Students, who wish to seek admission under Self-financed Category are required to submit their application to the **Director**, **International Affairs Cell**, **Karmaveer Bhaurao Patil University**, **Satara 415001 Maharashtra**, **India**. The applications received at IAC Section would be passed to the respective department of Karmaveer Bhaurao Patil University, Satara where those would be scrutinized and subsequently verified by the eligibility section. On screening, the respective meritorious student and Embassy will be informed about the decision on his/her admission at Karmaveer Bhaurao Patil University/ Constituent Colleges to Karmaveer Bhaurao Patil University.
- (III) Foreign Students with ICCR Scholarship (Government of India)

The candidates seeking admission under this Fellowship Programme are required to apply through the Indian High Commission/Embassy as the case may be, in their respective Countries. They will send the application to ICCR Government of India and then ICCR will forward the application(s) to various University / Institute in India as the case may be for consideration. In case the applicant is found suitablefor admission, admission offer letter will be sent to him/her through Indian Council for Cultural Relations, New Delhi. For more details, visit Indian Embassy (High Commission) website.

D) Visa requirements:

Foreign students admitted to the Karmaveer Bhaurao Patil University, Satara for respective course inthe Department/Constituent College to Karmaveer Bhaurao Patil University for UG/PG/M.Phil/Ph.D. musthave valid VISA as applicable (**Student Visa or Research Visa**) marked for Karmaveer Bhaurao Patil University, Satara. No other visa will be considered.

Note: The Academic Rules & Regulations of the respective programmes of Karmaveer Bhaurao Patil University, Satara are applicable to Foreign Students.]

E) General medical checkup : It is advisable that the foreign student must get a general health check up done before the departure to ensure that he/she do not suffer from any major ailments.

F) Medical Facilities:-

Finally admitted the foreign students will be provided with On Campus Health Center facility Obtaining personal Health Insurance is mandatory for foreign students.

G) Documents required:

Foreign students seeking admission for the UG/PG/M.Phil./Ph.D. programmes are required to submit the following documents as applicable:-

- 1) Duly filled application form as available on the Karmaveer Bhaurao Patil University homepage: (Noother format will be considered)
- 2) Copy of original mark sheets and copy of the transcripts. Copies of the original degree certificates.
- 3) Statement of purpose.
- 4) Curriculum vitae / Resume
- 5) Financial undertaking

(A certificate of financial support from sponsors or applicable Funding Agency or Government.)

OR

a) A letter of recommendation from the Govt. certifying that the fee will be paid by the Govt.

OR

- b) A copy of the student's bank statement showing a balance of US \$ 4000 (in case of UG/PG/) or US \$ 6000 (in case of a M. Phil. /Ph. D. program) for self-financed students.
- 6) Three recommendation letters at least two highlighting the student's academic performance by faculty be attached (*Applicable to PG/Post PG Programmes /M.Phil/Ph.D. Programmes*).
- 7) Documentary proof illustrating fluency in English language is necessary. If English is not the first language or language of medium of instruction, qualifying TOFEL or IELTS is necessary. Appearing for English Proficiency Test conducted by the university is mandatory as part eligibility criteria.
- 8) Copy of the passport (showing nationality, date of issue-expiry and personal details).
- 9) Accommodation request in University International Hostel should be made in advance along with the application form.
- 10) The provisional admissions will be granted in absentia based on the merit of the student after screening the documents and checking the eligibility. Mere submitting the application and or provisional admission letter does not warrant admission to the said course. Final admission would be considered or granted only on fulfilling the eligibility criteria.

H) Post Admission Requirements:

i) Payment of Fees:-

The fee structure for the UG/PG/M.Phil/Ph.D be paid in Indian Currency (INR). Payments in cash should only be in INR. In case the payment is made in USD, the exchange rate*applicable at that time would be considered excluding the processing charges in the banks if done via wire transfer. Bank charges, if any, should be borne by the sender.

[Note: The students joining UG/PG/ Visiting Students/Transfer Students should note that the formal academic year in India begins in $1^{st}/2^{nd}$ week of June every year and thus for their applications to be considered favorably, the best period for submitting the application is between February and May 15 every year, unless otherwise specified in critical

circumstances. Applications received thereafter would be considered for the next subsequent academic year. In this particular case, updated documents can be requested by the University officials.]

ii) Fee structure for UG/PG/ Visiting Students/Transfer Students program for Foreign Students (2020-21) varies with the choice of the courses and will be intimated case by case on request.

Particulars/Details	Fees for Foreign Students (Rs.)		
Admission and Registration	Rs. 14500/-		
Laboratory Fees (Science/Engineering)	Rs. 5500/- (Only for Sci./Engg. Candidates)		
Library Deposit	Rs. 5500/- (One time-Refundable on completion)		
Library Fees	Rs. 1700/-		
Internet Fees	Rs. 1700/-		
Tuitions Fees	Rs. 33,000/-		
Lab. Dev Fees	Rs. 1700/-		
Examination Fees	Rs. 1700/-		
Medical Fees	Rs. 600/-		
Gymkhana Fees	Rs. 600/-		
Student Benevolent Fund	Rs. 600/-		
E-service/Single Window Fees	Rs. 1100/-		
Later methods in the stal Coast Deart (Amazal)	D- 49.000/		
International Hostel Seat Rent (Annual)	Rs.48,000/-		
International Hostel Dev. Fees	Rs. 1700/-		
International Students Association Fees	Rs. 3500/-		
International Students Alumni Association	Rs. 600/-		
Eligibility Fees	Rs. 500/-		
Thesis evaluation and Viva-Voce	Rs. 7500/- (One time for the course)		
Total	Rs. 1,30,000/-		

iii) Fee structure for M.Phil./ Ph.D. program for Foreign Students (2020-21) (INR) Indian Currency

Note: 1. Issuance of Health Insurance is mandatory extending throughout the duration of the course.

I)CODE OF CONDUCT

Dress Code: Boys and girls should be in formals with decent outfit.

Discipline

J) In-campus Discipline

At Karmaveer Bhaurao Patil University, Satara, International Students are expected to **abide by all the rules of the University and the code of conduct as applicable to Indian Students doing the same course**. Any act within the campus that may violate or spoil the academic atmosphere in the University (e.g. Plagiarism, cheating on exams, other dishonesty, abuse of electronic resources, Acts or threats involving the safety of others, Damaging property, Sexual or other harassment, Abuse of alcohol or illicit drugs, Ragging, Smoking etc.) will not be appreciated and the student found guilty of such offence will be dismissed and sent back to their country without any cost to Karmaveer Bhaurao Patil University, Satara.

Outside the campus

Any complaint or reporting of misbehavior, violence, anti-social, anti-national, unethical and immoral activities involving International students will be dealt with in accordance with state and central laws that are in force. Karmaveer Bhaurao Patil University will not take any responsibility for any criminal or non-criminal acts done outside the campus, when the students are in the rolls of the University.

K) International Affairs Cell

All activities related to extracurricular programs, forums, clubs, community service, and entrepreneurship cell and students council are managed by the International Affairs Cell, Karmaveer Bhaurao Patil University, Satara. The students can approach the Director, InternationalAffairs Cell for guidance and share personal issues.

L) Housing and Dining

Accommodation for foreign students is on the campus at **International Students Hostel** and will be provided on first come first serve. There are separate hostels for men and women on the campus, with all facilities for study and recreation. Kitchen facilities are provided in the hostel for foreign students. Besides, there is a consumer store, food outlets and cafes too, within the campus.

M) SUPPORT SERVICES

(i) Student Support Services

- 1. International students wishing to avail pick-up facilities from the airport or nearest stations would be arranged on request, the expenses for which will be borne by the student.
- 2. Help would be rendered to the students in availing the Health Insurance at competitive premiums.

(ii) Campus life

Atmosphere in Karmaveer Bhaurao Patil University and constituent college campuses are friendly, lively and safe. Diversity of the campus is justified from the fact that students from all parts of India and different countries study on the campus. Most of the students and working class speak in English, Marathi and Hindi. Nearly 50% of the PG students stay on-campus in hostels and the remaining outside the campus, in nearby private residences or commute daily from the city. Indian students are very sociable, friendly and helpful in nature among the student community. The campus is self-sufficient with department stores, health center, gymnasium, sit outs, eateries, restaurant, food courts, book stall, banks and ATMs, Post Office, bus shuttles (public transport), and security services. There is a huge auditorium for weekend entertainments. Satara is a historical place and the campus is wellconnected. Students prefer to do week end shopping and entertainment at the downtown.Personal counseling is available to all students to enhance mental health, interpersonal relationships, academic performance, and career development.

Security Registration

It is part of the normal mandatory process that within the stipulated timeframe of arrival in India, the student has to register his/ her name with the police in the Foreigner Regional Registration Office (FRRO). The timeframe may vary from 24 hours to 14 dayson arrival, depending on the country of origin. A student with a PIO card also must register at the FRRO. Only OCI card holders need not register. Director, International Affairs, Karmaveer Bhaurao Patil University would only provide necessary assistance in this regard. The applicable immigration processing fees and other related expenses have to be additionallyborne by the student.

ADMISSION PROCEDURE

- Apply
- Fill the Online Application Form

- Attach/Upload the documents
- Document Submission (Print and submit the form to IAC office along with all the documents)
- Acknowledgement
- ✤ Deadlines
- Eligibility check- Students need to be in touch with the International Affairs Cell to clarify any discrepancy in the document until they get their Final Eligibility Letter.
- Provisional Admission
- Fee Payment- One-time fees should be paid at the beginning of every academic year.
- Payment Intimation
- Confirmed Admission
- Pre-enrolment
- ✤ Registration
- Medical Examination
- Security Registration
- Course Program
- Examination and Award of Degree

ANNEXURE – III

INPUT SHEET

(To be submitted by the candidate at the time of interview before DRC / CRC)

- 1. Name of the Candidate :
- 2. Course: Ph.D.:
- 3. Subject :
- 4. Merit List Number :
- 5. Topics of interest in the order of preference with area of broad specialization,

i)

- ii)
- iii)
- iv)
- 6. Name(s) of the Guide(s) (in order of preference) alongwith the area of broad specialization with whom the candidate is interested to undertake research work, if selected.

i)

- ii) iii)
- iv)

(Note : The DRC / CRC reserves the right to allot a guide, other than the guides' preference submitted by the candidate.

I understand that the input sheet provided by me is indicative and is submitted to provide information and my research interest.

Date :

Signature of Candidate

(Note : Please bring this filled form along with print out of application form and original and photocopy of all essential documents related to educational qualifications, failing which your interview will not be conducted.)

ANNEXURE – IV

Broad guidelines for preparation of synopsis

While preparing the synopsis for the Ph.D. work, following points shall be noted and followed carefully:

- A. The proposed synopsis for research should be self contained and should cover the rationale for carrying out research.
- B. There should not be repetition of the work or topic or theme.
- C. The synopsis of the proposed research shall contain the following points :
- 1. Title of the Research Proposal
- 2. Choice of the topic with reasoning or significance
- 3. Statement of the problem
- 4. Review of the relevant literature (noted works should be considered.

The text book reviews not allowed)

- 5. Objectives of the study
- 6. Hypothesis of the study
- 7. The methodology comprising
- a. Methods of research
- b. Sampling design and assumptions
- c. Conceptual framework if any
- d. Research design (explanation of how research is being conducted and the tools used for the same)
- e. Methods of data collection
- f. Methods of data analysis (use of parametric and non-parametric tools and techniques as the case may be)
- 8. The chapter scheme : (objectives must be reflected in the scheme)
- 9. Select Bibliography / Weblography.

ANNEXURE – V

Front page and cover of the thesis

Title in Block letters

A thesis submitted to

Karmaveer Bhaurao Patil University, Satara

for the Degree of Master of Philosophy / Doctor of Philosophy

in

Subject

under the Faculty of.....

by

Name of the Candidate

under the Guidance of

Name of the Guide/Supervisor

Name of the Co-Guide/Co-Supervisor: (If any)

Name of the Department / College / Institution:

Year

ANNEXURE – VI

Declaration and undertaking by Student

I hereby declare that the thesis/dissertation entitled

completed and written by me has not formed earlier the basis for the award of any degree or similar title of this or any other university or examining body. Further, I declare that I have not violated any of the provisions under the acts of Copyright/Piracy/Cyber/IPR etc. amended from time to time.

In view of University Grants Commission (Promotion of Academic Integrity and Prevention of plagiarism in Higher Educational Institutions) Regulations, 2018 dated 31st July 2018, I hereby submit an undertaking that this thesis/dissertation is my original work and it is free of any plagiarism. Further, it is also to state that this thesis/dissertation has been duly checked through a Plagiarism detection tool approved by Karmaveer Bhaurao Patil University.

Name & Signature of Research

Place : Student

Date

ANNEXURE - VII Certificate by Research Guide/Supervisor

This is to certify that the dissertation/thesis entitled

Place :

Date :

Co-Guide/Co-Supervisor (if any) (Signature with Name & Designation) Research Guide/Research Supervisor (Signature with Name & Designation)

ANNEXURE - VIII

Progress Report

Signature of the student

Signature of the Guide/Supervisor

Signature of the Co-Guide/Co-

Supervisor

Date :

Place :

ANNEXURE – IX

Fee structure* for academic year 2022-23

(* Subject to approval from Fee Fixation Committee)

Particulars/	Fee for Regular	Fee for JRF/SRF/Teachers	
Details	Student		
		& Employed Person	
Registration Fee (one time)	500	500	
Library Deposit (one time)	500	500	
Lab-Fees. (Sci/Engg.)	3000	6000	
Library Fee	1000	2000	
Internet Fee	2000	2000	
Tuition Fee	7500	7500	
Lab. Dev Fee	2000	2000	
Youth Festival	50	50	
Total (INR)	16,550	20,550	

- Separate fee will be charged eligibility for admission, as per rule.
- Fee for evaluation of thesis is Rs. 4000/-, to be remitted at the time of submission of thesis.

ANNEXURE – X Rules for imposing Fine

All students registered for Ph.D. will have remit annual and other fee within stipulated period. Accordingly, the concerned student will have to pay annual fee as mentioned below :-

- In case, the date of registration is 1st January, then the student will have to remit annual fee on or before 31st January every year.
- In case, the date of registration is 1st July, then the student will have to remit annual fee on or before 31st July every year.
- iii. If a research student fails to remit fee within stipulated period mentioned above, then fine of Rs. 200/-Per month delay will be imposed

A] If the research student does not avail scholarship/fellowship/salary of full time employment and fails to remit fee within stipulated period mentioned above, then finewill be imposed as under

1. 10% of yearly tuition fee.

ANNEXURE – XI

KARMAVEER BHAURAO PATIL UNIVERSITY, SATARA

Department of -----

-. OR Subject: ------

Report of Open Defence for award of Ph.D. Degree.

The Open Defence of	was conducted
(through VIDEO CONFERENCING/INF	ERSON) onatam/pm
for the thesis entitled	

The Following members were p	present.	
1	Chairman	
2	External Referee	
3	Guide	
4	Co-Guide (if any)	

A brief report:-

Recommendation:

Chairman,	External Referee	Research Guide	Research Co-Guide
Open Defence			

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